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04-02-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 5400-002

First Inventor Crawley

Title DEVICE AND METHOD FOR MONITORING A LASER-MARKING
DEVICE

Express Mail Label No. EL 855110909 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 36]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 1]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Steven N. Terranova

Registration No. (Attorney/Agent)

43,185

Signature

Date

March 31, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known**TOTAL AMOUNT OF PAYMENT** (\$) 1816

Application Number	TBA
Filing Date	MARch, 31, 2001
First Named Inventor	Crawley et al.
Examiner Name	TBA
Group / Art Unit	TBA
Attorney Docket No.	5400-002

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES	
Deposit Account Number	50-1732	Fee Code	Fee (\$)
Deposit Account Name	WITHROW & TERRANOVA, PLLC	Large Entity	Small Entity
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		Fee Code	Fee (\$)
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Fee Description	Fee Paid
2. <input type="checkbox"/> Payment Enclosed:		105	130
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127	50
FEE CALCULATION		139	130
1. BASIC FILING FEE		147	2,520
Large Entity	Small Entity	112	920*
Fee Code	Fee (\$)	113	1,840*
101	710	115	110
106	320	116	390
107	490	117	890
108	710	118	1,390
114	150	128	1,890
SUBTOTAL (1) (\$ 710)		119	310
2. EXTRA CLAIM FEES		120	310
Total Claims	77	121	270
Independent Claims	4	138	1,510
Multiple Dependent	0	140	110
Extra Claims		141	1,240
Fee from below		142	1,240
Fee Paid		143	440
20** = 57		144	600
-3** = 1		122	130
X 80 = 80		123	50
X = 0		126	180
Large Entity		581	40
Fee Code	Fee (\$)	146	710
103	18	149	710
102	80	179	710
104	270	169	900
109	80	Request for expedited examination of a design application	
110	18	SUBTOTAL (3) (\$ 0)	
SUBTOTAL (2) (\$ 1106)		Other fee (specify) _____	
**or number previously paid, if greater; For Reissues, see above		*Reduced by Basic Filing Fee Paid	

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)

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43,185

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Signature

Date

March 31, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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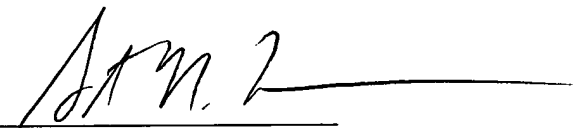
CERTIFICATE OF MAILING/EXPRESS MAIL

"Express Mail" Mailing Label Number EL 855110909 US

Date of Deposit: March 31, 2001

I hereby certify that the enclosed specification and claims for **DEVICE AND METHOD FOR MONITORING A LASER-MARKING DEVICE**, drawings, Utility Patent Application Transmittal, Fee Transmittal and duplicate and Declaration form PTO-1449 and our Credit Card Payment Form in the amount of \$1816.00, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Mailed By: _____


Steven N. Terranova